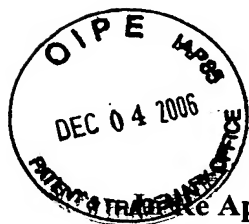


DOCKET NO.: ASTB-0054

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Philip Michael Bagley, et al.

Application No.: 10/568,983

Filing Date: August 9, 2006

For: A BUOYANCY CONTROL SYSTEM

Confirmation No.: 8859

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: December 1, 2006

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

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Commissioner for Patents
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Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers 7 - 11 listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers 1 - 6 on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

DOCKET NO.: ASTB-0054

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PATENT


☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

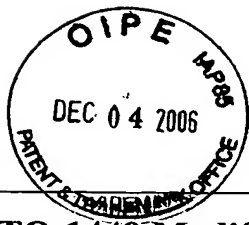
December, 2006



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. ASTB-0054/ C930:100:150	Application No. 10/568,983
	Applicant Philip Michael Bagley, et al.	
	Filing Date February 21, 2006	Group Not Yet Assigned
	Confirmation No. 8859	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
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	2	3,520,263	07/14/70	Berry, et al.	114	16
	3	4,266,500	05/12/81	Jurca	114	333
	4	5,588,808	12/31/96	De Santis	417	225
	5	6,371,041 B1	04/16/02	Ness	114	333
	6	2003/075096 A1	04/24/03	Leonard, et al.	114	331

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	7	523 666	07/19/40	GB		
	8	1 262 136	02/02/72	GB		
	9	1 275 780	05/24/72	GB		
	10	1 532 411	11/15/78	GB		
	11	2 351 718 A	01/10/01	GB		

EXAMINER	DATE CONSIDERED
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